


1615 IFW

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. <b>18223</b>	
In Re Application Of: <b>Jonathan B. Baell, et al.</b>						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
10/507,925	September 16, 2004	Unassigned	23389	1615	6810	
Title: <b>OTOPHERAPEUTIC ION CHANNEL BLOCKING AGENTS AND METHODS OF USE THEREOF</b>						
<div style="display: flex; justify-content: space-between;"> <div style="width: 20%;">  </div> <div style="width: 60%; text-align: center;"> <p>Address to:</p> <p><b>Commissioner for Patents</b>  <b>P.O. Box 1450</b>  <b>Alexandria, VA 22313-1450</b></p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div> <p style="text-align: center;"><b>OR</b></p> <div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div> </div> </div>						

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
18223

In Re Application of: **Jonathan B. Baell, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/507,925	September 16, 2004	Unassigned	23389	1615	6810

Title:

**THERAPEUTIC ION CHANNEL BLOCKING AGENTS AND METHODS OF USE THEREOF**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on January 4, 2006 (Date) _____ Signature of Person Mailing Correspondence _____ Frank S. DiGiglio	
Typed or Printed Name of Person Mailing Certificate _____	

\*This certificate may only be used if paying by deposit account.

Signature

Dated: January 4, 2006

Frank S. DiGiglio  
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CC:

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Jonathan B. Baell, et al.

**Examiner:** Unassigned

**Serial No.:** 10/507,925

**Art Unit:** 1615

**Filed:** September 16, 2004

**Docket:** 18223

**For:** THERAPEUTIC ION CHANNEL  
BLOCKING AGENTS AND  
METHODS OF USE THEREOF

**Dated:** January 4, 2006

**Confirmation No.:** 6810

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.


1. United States Patent No. 5,039,701, dated August 13, 1991 to Schlecker et al.; and
2. United States Patent No. 3,862,176, dated January 21, 1975 to Fauran et al.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**Dated:** January 4, 2006

  
\_\_\_\_\_  
Frank S. DiGiglio

The references were cited in a Supplementary Search Report dated November 17, 2005 received from the European Patent Office. Applicants are submitting a copy of the Supplementary Search Report. The relevance of the above-identified references has been described in the Supplementary Search Report.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

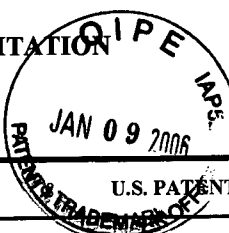
Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <div style="text-align: center;"><b>18223</b></div>		Application Number <div style="text-align: center;"><b>10/507,925</b></div>		
				Applicant(s) <b>Jonathan B. Baell, et al.</b>		Group Art Unit <div style="text-align: center;"><b>1615</b></div>		
				Filing Date <div style="text-align: center;"><b>September 16, 2004</b></div>				
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
N.C./		5,039,701	8/13/91	Schlecker et al.				
N.C./		3,862,176	1/21/75	Fauran et al.				
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER				DATE CONSIDERED				
				03/29/2008				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								